

Please refer to the instructions at the end of this application form, before completing it.

- This application form is for a lump sum and /or regular investments into a Jupiter Unit Trust. If you already have a unit trust/OEIC investment, complete a top up application form.
- Please complete this form in blue or black ink

1 Investor Details *Compulsory*

1A Investor Details

<input type="checkbox"/> Please tick if you are an existing unitholder		Unitholder no.									
Title	First name(s)					Surname					
Designation* (if applicable) must be shown as initials and/or numeric											
Permanent residential address											
						Postcode					
Mobile Tel. No.						Other Tel. No.					
Date of birth (dd.mm.yy)				.			.				
Email address (not compulsory)											
Security password (we will ask for this password to identify you when you call us)											
Occupation						Nationality					

* If the designation relates to a different beneficial owner, please complete section 5.

• 1B Joint Details (if relevant)

<input type="checkbox"/>	Please tick if an existing unitholder.	Unitholder no.	
Title	First name(s)	Surname	
Permanent residential address			
		Postcode	
Mobile Tel. No.		Other Tel. No.	
Date of birth (dd.mm.yy)			
Email address (not compulsory)			
Security password (we will ask for this password to identify you)			
Occupation		Nationality	

• 1C Joint Details (if relevant)

<input type="checkbox"/>	Please tick if an existing unitholder.	Unitholder no.	
Title	First name(s)	Surname	
Permanent residential address			
		Postcode	
Mobile Tel. No.		Other Tel. No.	
Date of birth (dd.mm.yy)			
Email address (not compulsory)			
Security password (we will ask for this password to identify you)			
Occupation		Nationality	

• ID Joint Details (if relevant)

<input type="checkbox"/>	Please tick if an existing unitholder.		Unitholder no.	
Title	First name(s)		Surname	
Permanent residential address				
			Postcode	
Mobile Tel. No.			Other Tel. No.	
Date of birth (<i>dd.mm.yy</i>)			.	
			.	
Email address (<i>not compulsory</i>)				
Security password (<i>we will ask for this password to identify you</i>)				
Occupation			Nationality	

2 Investment Details *Compulsory*

If you are investing directly with Jupiter i.e. without using an authorised financial adviser, please ensure you have read the most recently issued J-class Key Investor Information Document(s) as you will be investing into J class units. If you are investing through an authorised financial adviser, please ensure you have read the most recently issued L-class Key Investor Information Document(s) as you will be investing into L class units.

I/We wish to invest in the Jupiter Unit Trust(s) as detailed below.

Fund choice (please insert full name of fund(s))	Lump sum	Monthly*
	min £500 per fund, min top-up £250 per fund	min £50 per fund
1.		
2.		
3.		
4.		
5.		
6.		
Fund choice total (the total in the 'Lump sum/Monthly' box should add up to your payment)	£	£

Important Information If you are investing monthly please complete the Direct Debit authority in section 8. Monthly contributions will be collected from your Bank or Building Society account on the first business day of each month. If you wish to receive a monthly income by investing in the Jupiter Merlin Monthly Income Select and/or the Jupiter Monthly Alternative Income Fund the minimum investment is £5,000. We cannot accept Direct Debits from third parties.

*If you are already investing a monthly amount with Jupiter, any amount entered in this column will be treated as an addition to your current monthly contributions.

I confirm that this investment is funded from:

<input type="checkbox"/> Employment income	<input type="checkbox"/> Inheritance	<input type="checkbox"/> Sale of property/business
<input type="checkbox"/> Sale of investments	<input type="checkbox"/> Savings account	
<input type="checkbox"/> Other (please provide details)		

In certain circumstances, we may need to verify the above information.

For any help with this application contact us on **0800 561 4000** or via webchat at **www.jupiteram.com**

3 Income Distribution and Withdrawal Payment Bank Details

Name of Bank/Building Society								
Bank address							Postcode	
Bank account name							Building Society roll no. (if applicable)	
Bank/Building Society sort code								
Bank/Building Society A/C no.								

Tick if you want these to apply to:

<input type="checkbox"/> Income distributions	<input type="checkbox"/> Withdrawal payments
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If you don't provide income details for your distributions, your investment will be placed into accumulation units and any income will be accumulated within the fund.

It is your responsibility to let us know if your bank details change.

4 Agent Details *To be completed by your agent if appropriate*

☐ Please tick this box if advice **has** been provided in connection with this application.

☐ Please tick this box if advice **has not** been provided in connection with this application.

Agent stamp

Consultant's name
Agency number

5 Beneficial Owner Information *Compulsory*

I/We confirm that I/we are the only beneficial owner(s) of this investment?	Yes		No	
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The beneficial owner is the individual who ultimately owns and controls the arrangement or organisation who is transacting on their behalf. For further details please see our Terms and Conditions which are available on our website www.jupiteram.com or by contacting us.

If you have ticked NO please provide the required information about the beneficial owner(s) below.

Beneficial Owner 1

Name of person or entity	
Permanent residential address	
	Date of Birth <i>(if applicable)</i>

Beneficial Owner 2

Name of person or entity	
Permanent residential address	
	Date of Birth <i>(if applicable)</i>

Beneficial Owner 3

Name of person or entity	
Permanent residential address	
	Date of Birth <i>(if applicable)</i>

Beneficial Owner 4

Name of person or entity	
Permanent residential address	
	Date of Birth <i>(if applicable)</i>

6 Private Investors Individual Self-Certification *Compulsory*

As Tax Regulations¹ require us to collect information about each investor's tax residency, we will need you to provide us with the information below. In certain circumstances (including if we do not receive a valid self-certification from you) we may have to share information on your account with HMRC. If you have any questions about your tax residency, please contact your tax adviser. If any information provided changes in the future, please let us know as soon as possible.

Investor 1

Tax residency – Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers* in the table below. If you are a US citizen or resident, please include United States in this table along with your US Tax Identification Number*.

Country/Countries of tax residency	Tax reference number**

If you are not resident in any country for tax purposes, please tick this box: ☐

Declaration – I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.

I agree to notify Jupiter Unit Trust Managers Limited immediately if the information in the self-certification changes.

Signed by <i>(Please print name)</i>	
Signature	
Date	

¹ The term 'Tax Regulations' refers to regulations created to enable the automatic exchange of information and include FATCA², various agreements to improve international tax compliance entered into between the UK and its Crown Dependencies and its Overseas Territories and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information.

² The term 'FATCA' refers to the Foreign Account Tax Compliance Act provisions contained in the US HIRE Act 2010.

*Where this is not provided, we may, at our discretion, reject your application.

**If you are resident in the UK for tax purposes, this will be your National Insurance Number.

6 Private Investors Individual Self-Certification *Compulsory*

Investor 2 (if relevant)

Tax residency – Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers* in the table below. If you are a US citizen or resident, please include United States in this table along with your US Tax Identification Number*.

Country/Countries of tax residency	Tax reference number**

If you are not resident in any country for tax purposes, please tick this box: ☐

Declaration – I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.

I agree to notify Jupiter Unit Trust Managers Limited immediately if the information in the self-certification changes.

Signed by <i>(Please print name)</i>	
Signature	
Date	

¹The term 'Tax Regulations' refers to regulations created to enable the automatic exchange of information and include FATCA², various agreements to improve international tax compliance entered into between the UK and its Crown Dependencies and its Overseas Territories and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information.

²The term 'FATCA' refers to the Foreign Account Tax Compliance Act provisions contained in the US HIRE Act 2010.

*Where this is not provided, we may, at our discretion, reject your application.

**If you are resident in the UK for tax purposes, this will be your National Insurance Number.

6 Private Investors Individual Self-Certification *Compulsory*

Investor 3 (if relevant)

Tax residency – Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers* in the table below. If you are a US citizen or resident, please include United States in this table along with your US Tax Identification Number*.

Country/Countries of tax residency	Tax reference number**

If you are not resident in any country for tax purposes, please tick this box: ☐

Declaration – I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.

I agree to notify Jupiter Unit Trust Managers Limited immediately if the information in the self-certification changes.

Signed by <i>(Please print name)</i>	
Signature	
Date	

¹The term 'Tax Regulations' refers to regulations created to enable the automatic exchange of information and include FATCA², various agreements to improve international tax compliance entered into between the UK and its Crown Dependencies and its Overseas Territories and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information.

²The term 'FATCA' refers to the Foreign Account Tax Compliance Act provisions contained in the US HIRE Act 2010.

*Where this is not provided, we may, at our discretion, reject your application.

**If you are resident in the UK for tax purposes, this will be your National Insurance Number.

6 Private Investors Individual Self-Certification *Compulsory***Investor 4 (if relevant)**

Tax residency – Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers* in the table below. If you are a US citizen or resident, please include United States in this table along with your US Tax Identification Number*.

Country/Countries of tax residency	Tax reference number**

If you are not resident in any country for tax purposes, please tick this box: ☐

Declaration – I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.

I agree to notify Jupiter Unit Trust Managers Limited immediately if the information in the self-certification changes.

Signed by <i>(Please print name)</i>	
Signature	
Date	

¹The term 'Tax Regulations' refers to regulations created to enable the automatic exchange of information and include FATCA², various agreements to improve international tax compliance entered into between the UK and its Crown Dependencies and its Overseas Territories and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information.

²The term 'FATCA' refers to the Foreign Account Tax Compliance Act provisions contained in the US HIRE Act 2010.

*Where this is not provided, we may, at our discretion, reject your application.

**If you are resident in the UK for tax purposes, this will be your National Insurance Number.

7 Declaration and Authorisation *Compulsory*

I/We understand that Jupiter accepts no responsibility for any loss incurred as a result of any delay between my/our submission and Jupiter's receipt of the application form.

I/We confirm that:

- A** I/We have read and retained* the Supplementary Information Document and Terms & Conditions together with the most recently issued Key Investor Information Document(s) relevant to the Fund(s) and share class selected in section 2.
- B** I/We am/are aware that you will use the Delivery versus Payment Exemption as detailed in the Terms & Conditions.
- C** I/We give permission for client money held on my/our behalf to be transferred to another provider, should Jupiter become insolvent.
- D** I am/We are not (a) US Person(s) nor am I/are we applying for units on behalf of (a) US Person(s) nor am I/are we applying for units in order to further offer, sell or transfer such units directly or indirectly to (a) US Person(s), (a 'US Person' is a national, citizen or resident of the United States of America or a corporation or partnership organised under the laws of the United States of America or having a principal place of business in the United States of America).
- E** I am/We are not nor am I/are we applying for units on behalf of (a) Canadian Person(s) or otherwise in a position where you would deal with me or us in or into Canada nor am I/are we applying for units in order to further offer, sell or transfer such units directly or indirectly to (a) Canadian Person(s) or other persons in Canada, (a 'Canadian Person' is a national, citizen or resident of Canada or a corporation or partnership organised under the laws of Canada or having a principal place of business in Canada).

** Documentation can be obtained from our website www.jupiteram.com or by contacting us using the details at the end of this form.*

- I/We enclose a cheque or bankers draft made payable to Jupiter Unit Trust Managers Limited for the total amount indicated in section 2.
- The information on this completed application form is correct to the best of my/our knowledge.
- I/We agree to be bound by the Terms & Conditions relating to the Unit Trust investment and to inform you in writing immediately of any changes in my/our circumstances.
- I/We confirm that I/we are the only beneficial owner(s) OR I/we confirm that all beneficial owners are known to me/us and I/we undertake to make those named above aware that they may be subjected to the anti-money laundering verifications as outlined in the Terms & Conditions.
- I/We confirm that I/we are the only beneficial owner(s) OR I/we confirm that all beneficial owners are known to me/us and I/we confirm that those named above have agreed to their personal data being provided in this application, are aware that their personal data will be processed by Jupiter, and have been provided with a copy of the Jupiter privacy notice describing how and why my/our personal data will be processed by Jupiter in connection with my/our investment.

For any help with this application contact us on **0800 561 4000** or via webchat at **www.jupiteram.com**

7 Declaration and Authorisation *Compulsory*

Jupiter Unit Trust Managers Limited processes your data in accordance with all applicable data protection laws, including the Data Protection Act 2018 and the General Data Protection Regulation (EU) 2016/679. For further information about how Jupiter uses your personal data, please refer to the section headed Data Protection in the Jupiter Terms & Conditions and review the Jupiter privacy notice, which can be found on our website at <https://www.jupiteram.com/Shared-Content/Legal-content-pages/Privacy>.

First applicant's signature		Date
Second applicant's signature (if relevant)		Date
Third applicant's signature (if relevant)		Date
Fourth applicant's signature (if relevant)		Date

8 Instruction to your bank or building society to pay by Direct Debit*For monthly investors only*

Jupiter Unit Trust Managers Limited,
PO Box 10666, Chelmsford CM99 2BG.



To the Manager (Bank/Building Society)	Service User Number	915500
	Reference	
Address	Instruction to your bank or building society	
	Please pay Jupiter Unit Trust Managers Limited, Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee.	
Postcode	I understand that this instruction may remain with Jupiter Unit Trust Managers Limited, and if so, details will be passed electronically to my bank/building society.	
Name of account holder(s)		
Bank/Building Society A/C no.	Signature	Date
Bank/Building Society sort code	Banks and building societies may not accept Direct Debit instructions for some types of account.	

**The Direct Debit Guarantee**

This guarantee should be detached and retained by the payer.

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit, Jupiter Unit Trust Managers Limited will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Jupiter Unit Trust Managers Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit by Jupiter Unit Trust Managers Limited or your bank or building society you are entitled to a full and immediate refund of the amount paid from your bank or building society.
- If you receive a refund you are not entitled to, you must pay it back when Jupiter Unit Trust Managers Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

If you need help with this form

- Phone us on **0800 561 4000** (from overseas: **+44(0)1268 448642**) between 9.00am and 5.30pm, Monday to Friday
- Email us at **enquiries@jupiteronline.co.uk**
- Use webchat via our website, **www.jupiteram.com**
- If you want any document in another format (for example Braille, large print or audio), please contact us.

Important information to be aware of before you invest

There are 3 different ways of investing into a Unit Trust:

- Via an independent financial adviser. We recommend you discuss any investment decisions with a financial adviser, particularly if you are unsure whether an investment is suitable. Jupiter is unable to provide investment advice. If you do not have a financial adviser, you can search for one at www.thepfs.org/yourmoney/find-an-adviser/ or www.unbiased.co.uk *
- Via a fund platform. Platforms are able to aggregate large numbers of clients across different investment managers, sometimes offering lower minimum investment amounts and lower fund charges as a result. You can compare the cost of a selection of different platforms at <https://www.comparefundplatforms.com/> *
- Directly with us via online dealing (if you are an existing account holder), phone or by completing this application form

*** We are not responsible for any information published on these websites. References to these websites and companies (who are independent of us) are examples and are not a recommendation or an endorsement by us.**

Documents to read before you invest

- Please ensure that you have read the following documents before completing this form:
 - The Terms and Conditions.
 - The Key Investor Information Document (KIID) relevant to the fund(s) and share class you are investing into.
 - The Supplementary Information Document (SID).

Completing your application form

- We do not accept investments from or on behalf of US or Canada Persons. For details please refer to the Supplementary Information Document which can be found on www.jupiteram.com or to order from us using the contact details on this page.
- Please return this form to us with a cheque, made payable to Jupiter Unit Trust Managers Limited. Our address is **Jupiter Unit Trust Managers Limited, PO Box 10666, Chelmsford CM99 2BG.**
- If payment for this investment is being provided by a person other than the account holder(s), then that person must attach further information to this form, which must include their full name, permanent residential address and date of birth.
- Where payment is being made by building society cheque or banker's draft, it must be certified on the back, with details of your account to show the payment is being made

from your own (or joint) bank account. Failure to do so may delay your application.

- If you are an existing investor, your unitholder number can be found on correspondence we have sent you such as contract notes and bi-annual statements.
- If you would like to pay by debit card, please call us on the number above to complete your investment or log on to our online portal 'My Account' at www.jupiteram.com where you can place an online deal. We do not accept debit card payments for postal investment requests.
- We may contact you if we have any questions on your application form.
- If you miss any of the compulsory sections, then your application may be rejected and your investment not made.
- Income units are where the income available for distribution, if any, is paid to you. Accumulation units are where the income available for distribution, if any, is retained within the fund and is reflected in the price of the units.

If you've chosen to invest in income units, please provide your bank details in section 3, otherwise your investment will be placed into accumulation units.

Next steps

Our funds are valued at 12.00 noon and are dealt on a forward pricing basis. This means that you will receive the price at the next valuation point after we have received your instruction. We will send you a contract note confirming the transaction the day after the deal has been priced.

If, for any reason, your instruction cannot be dealt within the above timescale your investment will either be returned to you or retained in a non-interest bearing client money account until it has been allocated.